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#### **REVIEW ARTICLE**

# The role of TGF- $\beta$ in the tumor microenvironment of pancreatic cancer



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Received 6 April 2022; received in revised form 1 October 2022; accepted 20 October 2022 Available online 19 November 2022

#### **KEYWORDS**

Cancer therapy; Pancreatic cancer; Smad 4; Transforming growth factor-β; Tumor microenvironment **Abstract** Pancreatic cancer (PC) is an aggressive malignant tumor with low rate of surgical resection and poor prognosis. Transforming growth factor- $\beta$  (TGF- $\beta$ ) is a cytokine that has both protumor and antitumor activities, depending on tumor microenvironment. The interaction between TGF- $\beta$  signaling and the tumor microenvironment in PC is complex. Here, we reviewed the role of TGF- $\beta$  in the tumor microenvironment of PC, highlighting producers of TGF- $\beta$  and TGF- $\beta$  responders in the tumor microenvironment of PC.

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#### Introduction

Pancreatic cancer (PC) is a deadly malignant tumor, which is called the king of cancers.<sup>1</sup> According to the American Cancer Society, PC is the fourth leading cause of cancerrelated death worldwide<sup>2</sup> and the sixth leading cause of cancer-related death in China,<sup>3</sup> and it is expected to become the second leading cause of cancer death worldwide by 2030 due to its rising incidence and survival rates that have barely improved.<sup>4</sup> The tumor microenvironment (TME) of PC is dynamically evolving during its progression, which is of great significance for the progression and metastasis of PC. Previous studies have shown that transforming growth factor- $\beta$  (TGF- $\beta$ ) and its signals play an important role in the changes in the pancreatic TME, but most of them did not clarify the specific mechanism. In this paper, we reviewed the progress of TGF- $\beta$  in pancreatic TME in recent years, and discussed the role of TGF- $\beta$  in the TME of PC in order to provide some ideas for the discovery of therapeutic targets of PC and the design of related drugs.

#### https://doi.org/10.1016/j.gendis.2022.10.019

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Peer review under responsibility of Chongqing Medical University.

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#### TGF- $\beta$ and TGF- $\beta$ family

The mammalian genome encodes 32 TGF- $\beta$ -related polypeptides, and current research indicates that these TGF-B family members are found in human tissue cells, including bone morphogenetic proteins, growth and differentiation factors, anti-Müllerian tubular hormone proteins, inhibins, activins, nodal and TGF- $\beta$ s.<sup>5</sup> Although most studies have referred to them as homodimers, various combinations of their heterodimers have also been identified as biologically active proteins. The mammalian genome encodes three different TGF- $\beta$  isoforms, TGF- $\beta$ 1, - $\beta$ 2, and - $\beta$ 3, which act as disulfide-linked dimers.<sup>6</sup> The three TGF-βs are synthesized as pro-hormones that include a signal sequence, a large N-terminal portion called the latency-associated peptide (LAP), and a short C-terminal segment, which corresponds to the mature active cytokine monomer.<sup>7-9</sup> There are three TGF- $\beta$  receptors (TGF- $\beta$ RI, TGF- $\beta$ RII, and TGF-BRIII). Both TGF-BRI and TGF-BRII contain serine/ threonine protein kinases in their cytoplasmic domains while TGF- $\beta$ RIII has no kinase activity. The binding of TGF- $\beta$ to TGF-BRII and hetero-tetramerization with TGF-BRI initiate the intracellular signaling via SMADs.<sup>10</sup>

The three TGF- $\beta$  isoforms are involved in many physiological processes, including cell growth, differentiation, apoptosis, migration, invasion, as well as tissue homeostasis and regeneration.<sup>11–13</sup> They also play the major roles in tumorigenesis, fibrotic disorders, immune malfunctions, and various congenital diseases.<sup>14,15</sup> Particularly, TGF- $\beta$ 1 is the most important TGF- $\beta$  in human cancer development, which is most relevant to cancer initiation and progression.<sup>11,16</sup>

### Relationship between TGF- $\beta$ and pancreatic cancer

TGF- $\beta$  induces anti-proliferative responses in many cell types, including normal epithelial cells and transformed cells. It has been suggested that disruption of TGF-B signaling is involved in the pathogenesis of tumorigenesis. According to this hypothesis, the inactivation of mutations and deletions of the TGF- $\beta$ RII and Smad 4 motifs have been identified in cancer and are thought to be one of the drivers of tumorigenesis.<sup>17,18</sup> The function of TGF- $\beta$  as an inhibitor of epithelial tumorigenesis may need to be alleviated at the early stages of tumor development, which does not exclude a role for TGF- $\beta$  signaling at late stages. On the other hand, tumor cells display increased TGF- $\beta$  expression, most commonly TGF- $\beta$ 1, and secrete TGF- $\beta$  ligands compared to normal surrounding tissue. In addition, elevated TGF-B expression is associated with tumor progression and poor prognosis, suggesting a pro-cancer role for TGF- $\beta$  in advanced stages.<sup>19</sup> Therefore, TGF- $\beta$  signaling both controls the initial development of tumors and promotes the progression of advanced cancers.<sup>20</sup>

The expression of TGF- $\beta$  is regulated by various factors, and we have found that some genes, proteins, and miRNAs can affect the signaling of TGF- $\beta$ . They affect the signaling pathway by activating or inhibiting the synthesis of TGF- $\beta$ protein and thus affecting the occurrence of PC.

#### TGF- $\beta$ signaling pathways in pancreatic cancer

There are two TGF- $\beta$  signaling pathways in PC, the canonical Smad signaling pathway and noncanonical non-Smad signaling pathways. The initiation of TGF- $\beta$  signaling pathway transmission is the synthesis and release of TGF- $\beta$ ligands. In classical Smad signaling pathway, TGF- $\beta$  binds to TGF- $\beta$ RII on the cell membrane surface, and then phosphorylates TGF- $\beta$ RI. The Phosphorylated TGF- $\beta$ RI/TGF- $\beta$ RII phosphorylates the Smad 2 and Smad 3 proteins, which form a complex with Smad 4. The activated Smad complex moves to the nucleus and binds to specific DNA sequence motifs called Smad-binding elements (SBEs). Then, it interacts with other transcription factors to regulate the expression of TGF- $\beta$  target genes.<sup>5,21</sup>

In addition, TGF- $\beta$  can also activate many non-Smad pathways, including PI3K/AKT, JNK/P38, ERK/MAPK signaling pathways, etc.<sup>5,21</sup> Usually these non-Smad pathways also contribute to the progression of PC. PI3K/AKT signaling pathway contributes to tumorigenesis by regulating multiple transcription factors such as nuclear transcription factor-kB (NF-kB) and mammalian target of rapamycin (mTOR) via phosphorylation.<sup>22,23</sup> JNK/P38 signaling pathway leads to increased expression of c-Myc, β-linked protein, and PD-L1, which promotes tumor metastasis.<sup>24</sup> The JNK/P38 signaling pathway also promotes angiogenesis and controls the fate of mesenchymal cells. which promotes tumor progression.<sup>25</sup> ERK activation plays an important role in epithelial-mesenchymal transition (EMT), which is one of the major biological functions of TGF-β.<sup>26</sup> In TGF-β-mediated EMT, ERK/MAPK signaling pathway is considered as one of the most important non-Smad signaling pathways to disrupt intracellular wall junctions and induce cell motility, which promotes invasion and metastasis of PC.<sup>2</sup>

#### **Producers of TGF-**β

Most nucleated cells in the body are capable of secreting TGF- $\beta$ . When cancer occurs, TGF- $\beta$  is mainly secreted by tumor cells, stromal cells, and macrophages in the tumor infiltration zone in TME.<sup>28-30</sup> In PC, Treg cells are the key source of TGF- $\beta$ ,<sup>31</sup> and Schwann cells are also an important source of TGF- $\beta$ .<sup>32</sup> TGF- $\beta$  has a bi-directional role in PC. In the early stage of PC, TGF- $\beta$  is an important tumor suppressor that inhibits cell proliferation, induces apoptosis, activates autophagy, inhibits growth factor signaling through stromal fibroblasts, suppresses inflammation, and inhibits angiogenesis to maintain the dynamic balance of normal tissues. Meanwhile, in the late stage of PC, it evades apoptosis by inducing EMT, thus promoting the formation of the TME, and facilitating the progression of advanced tumors.<sup>33,34</sup> Related studies show that the interaction between Smad 4, a downstream mediator of TGF- $\beta$ , and Sox 4, a key transcription factor in embryonic development, partially determines whether TGF- $\beta$  acts as a tumor suppressor or a tumor initiator.<sup>35</sup> Typically, overexpression of Sox4 plays a tumor-promoting role and Sox4 acts as an independent poor prognostic factor.<sup>35–37</sup> TGF- $\beta$ may promote aggressiveness in Smad4<sup>-</sup> PCs. However, when

cells undergo TGF- $\beta$ /Smad4-induced EMT in Smad4<sup>+</sup> PCs, the function of Sox4 changes from pro-tumor to proapoptotic due to Snail-mediated repression of Klf5, thus triggering apoptosis of tumor cells and achieving tumor growth inhibition.<sup>35</sup>

#### Regulation of TGF- $\beta$ expression

### Transmembrane proteins regulate the TGF- $\beta$ signaling pathway

TGF- $\beta$  has been widely proved to be an effective inducer and a key control factor of EMT process in PC.<sup>38</sup> Transmembrane protein TMEM158 can mediate TGF-B1 signaling to affect the carcinogenesis of pancreatic cells. TGF-B1 protein plays an important role in the TGF- $\beta$  signaling pathway. Fu et al reported that the mRNA and protein expression of TGF- $\beta$ 1 is decreased in PC cells with TMEM158 knock-down, and significantly increases in PC cells with TMEM158 overexpression.<sup>22</sup> After TMEM158 knock-down, the activity of the TGF- $\beta$ 1 promoter in PC cells is weakened, and the activity of the TGF- $\beta$ 1 promoter is significantly increased in PC cells with TMEM158. The TGF- $\beta$  signaling pathway is inhibited by the up-regulation of the dominant-negative TGF-B II receptor (DNR), which significantly blocks the migration and invasion enhancement potential induced by ectopic TMEM158. In short, mechanism-related investigations disclosed that activation of TGF- $\beta$  might be responsible for TMEM158-triggered PC aggressiveness.<sup>22</sup>

#### Regulation of TGF- $\beta$ by other genes

According to the research of Pinho et al,<sup>39</sup> Epithelial Robo 2 loss is associated with the expansion of myofibroblasts and the induction of TGF- $\beta$  signal transduction (significantly phosphorylated Smad 2 in stromal cells), which also proves the dependence on TGF- $\beta$  signal transduction. This pathway provides a known stimulus for the matrix remodeling, wound healing, and the fibroproliferative response of acute pancreatitis, chronic pancreatitis, and PDAC, but how the stroma itself affects the development and progression of cancer is a strong debate.<sup>40</sup> TGF- $\beta$  itself can also have dual effects here, which depend on the cell type (epithelial and matrix) and time (early or late stage of tumor development) of signal transduction; it can act as a tumor suppressor when activated in preconcerting epithelial cells, or as a tumor promoter when activated in advanced cancer cells or TME. In addition, through the Robo 2 deficient mouse model, they found that Wnt and TGF- $\beta$  pathways were not autonomously activated, and their common target Robo 1 was significantly activated in stromal cells. TGF- $\beta$ -mediated fibrosis actually requires activation of Wnt signaling.<sup>41</sup> In PDAC patients, high Robo 1 mRNA expression was negatively correlated with the structure of tumor epithelial cells and positively correlated with the markers of activated matrix and Wnt and TGF- $\beta$ pathways. High-level Robo1 in the tumor matrix can in turn regulate Wnt pathway activation in response to TGF- $\beta$  activation. This particular mechanism of interaction may form the subject of future research. On the other hand, Robo1 was found not only in myofibroblasts but also in pancreatic tumor epithelium, which may play a unique role in migration and invasion.  $^{\rm 42}$ 

Based on the study of Tu et al, it was found that the inactivation of CDKN2B was a necessary condition for the induction of PC. It activated the expression of TGF-B signaling and CDKN2B through carcinogenic overexpression and led to cell senescence together with CDKN2A, and protected cells from Kras-mediated transformation by inhibiting the phosphorylation of retinoblastoma. The expression of carcinogenic Kras in human pancreatic duct cells HPDE6-C7. Kras<sup>G12D</sup> overexpression up-regulated the expression of CDKN2B and TGF-B1 at mRNA and protein levels. Reporter gene assay showed that CDKN2B promoter activity was upregulated. This effect was eliminated in the presence of mitogen-activated protein kinase (MEK) inhibitor U0126 or TGF- $\beta$  inhibitor SB431542, which mediated the Kras signaling pathway. The Smad binding elements in the CDKN2B promoter and TGF-B signaling pathway are necessary to increase CDKN2B expression. These results suggest that Kras upregulates CDKN2B gene transcription through TGF- $\beta$  signaling.<sup>43</sup>

## MiRNAs stimulate the proliferation and metastasis of pancreatic cancer cells by regulating TGF- $\beta$ 2/TGF- $\beta$ RIII signaling miRNA

Fang et al further explored the potential mechanism of miR-193a on the proliferation and regeneration of pancreatic cancer cells<sup>44</sup>; according to the miR-193a binding site, it indicated that miR-193a might mediate cell regeneration after radiation by regulating the TGF- $\beta$ 2/TGF- $\beta$ RIII signaling pathway. Notably, E2F6 is also predicted to be the target of miR-193a. It is well known that E2F6 can act as a dominantnegative suppressor to inhibit E2F- and Myc-responding genes. It was found that the ectopic expression of miR-193a decreased the protein expression of TGF-B2, TGF-BRIII, and E2F6, while in AsPC-1 cells transfected with miR-193a antagomir, inhibiting miR-193a could increase the expression of these proteins compared with the control group. TGF- $\beta$ 2, TGF- $\beta$ RIII, and E2F6 were the target genes of miR-193a. In addition, the repair of TGF- $\beta$ 2 in PC cells with high miR-193a expression can eliminate the inhibitory effect of p-Smad 2, p-Smad 3, and E2F6, and inhibit the increase of c-Myc expression caused by ectopic expression of miR-193a.

Through TGF- $\beta$ 2/TGF- $\beta$ RIII/Smads/E2F6/c-Myc signaling pathway, Fang et al showed that miR-193a promoted the regeneration of PC after radiation, and they explored whether miR-193a would affect intercellular connection and further promote metastasis. It was found that ectopic expression of miR-193 significantly reduced the expression of E-cadherin and N-cadherin and may promote tumor metastasis by inhibiting TGF- $\beta$ 2/TGF- $\beta$ RIII/ARHGEF15/ABL2 signal transduction.

In addition, the expression of TGF- $\beta$ 2, TGF- $\beta$ RIII, ARH-GEF15, and ABL2 is lower than that in PC cells without miR-193a treatment, suggesting that miR-193a may destroy the connection between PC cells and promote tumor metastasis by inhibiting TGF- $\beta$ 2/TGF- $\beta$ RIII/ARHGEF15/ABL2 signal transduction. In addition, according to the comparison of tumor growth after radiation between the experimental group and the control group, TGF- $\beta$ 2 can offset the effect of miR-193a overexpression on the proliferation and

regeneration of PC cells, while inhibit miR-193a or restore the TGF- $\beta$ 2/TGF- $\beta$ RIII signaling pathway that can inhibit the proliferation and metastasis of PC cells after radiotherapy and prolong the survival time of patients (Fig. 1).

#### TGF- $\beta$ responders in pancreatic TME

#### TGF- $\beta$ impacts TME of pancreatic cancer

The major histological subtype of PC is pancreatic ductal adenocarcinoma (PDAC), which comprises approximately 90% of all PCs. Oncogenesis is rooted in genetic mutations. In PDAC, there are four common driving mutations (Kras, CDKN2A, TP53 and Smad 4).<sup>45</sup> TGF- $\beta$  signaling pathway is one of the 12 core signaling pathways in PC, and is also closely associated with driver gene mutations in PC.<sup>46</sup> PC develops in the microenvironment, the stroma enriched with extracellular matrix proteins, mainly produced by stellate cells known as cancer-associated fibroblasts (CAFs), inflammatory cells such as mast cells, and small blood vessels that recent evidence suggests are a dynamic compartment rather than a mechanical barrier, strongly involved in the process of tumor formation, progression, invasion, and metastasis.  $^{47,48}$  TGF- $\beta$ plays an important role in the formation of such a microenvironment. TGF- $\beta$  has a bidirectional role in regulating the development of PC at different stages, but TGF- $\beta$  and its signaling pathway only contribute to generating a favorable microenvironment for tumor growth and metastasis during all steps of carcinogenesis at the microenvironmental level.<sup>49,50</sup> TGF- $\beta$  promotes the secretion of pro-angiogenic factors such as matrix metalloproteinase 2 (MMP2) and matrix metalloproteinase 9 (MMP-9) in the TME of PC, and downregulates the expression of anti-angiogenic factors, such as tissue inhibitor of MMP (TIMP), by recruiting inflammatory cells in the TME, thus keeping the blood vessels in a state of growth and remodeling, which provides good conditions for tumor growth and metastasis.<sup>51–53</sup> Among them, vascular endothelial growth factor (VEGF) is the main response factor for TGF-β-induced angiogenesis through JNK/P38 signaling pathway and others.<sup>25,53</sup> Another major alteration in the TME of pancreatic cancer is due to EMT, and TGF- $\beta$  is one of the major inducers of EMT, which promotes tumor cell invasion and metastasis.<sup>54</sup> Induced by TGF- $\beta$ , the level of E-cadherin is decreased and the vimentin level is increased in the pancreatic TME, which leads to the development of EMT.<sup>55</sup> In addition, TGF- $\beta$  modifies the liver to initiate pre-metastatic niche formation via activated hepatic stellate cells and remodeling ECM in the liver microenvironment.56,57

Tumor cells and many components in the pancreatic TME respond to the TGF- $\beta$  signaling; therefore, TGF- $\beta$  plays an important and complex regulatory role in the development of PC and changes in the TME of PC (Fig. 2 and Table 1).<sup>58</sup>



**Figure 1** Regulation of TGF- $\beta$  signaling in pancreatic cancer. There are two TGF- $\beta$  signaling pathways that regulate the cellular effects of PC at different stages of the tumor. These two signaling pathways can also be regulated from protein and gene levels. Expression of TGF- $\beta$  is increased in pancreatic cancer cells with TMEM158 overexpression. Robo 2 gene expression is lost under different Ras activation conditions, thereby promoting TGF- $\beta$  expression. In contrast, miR-193a induces the opposite effect. miR-193a reduces TGF- $\beta$  expression by regulating transcriptional and translational processes, and also directly targets TGF- $\beta$ RIII so that TGF- $\beta$  cannot bind to it.



**Figure 2** The effect of TGF- $\beta$  in the tumor microenvironment of pancreatic cancer. Tumor cells and many components in the pancreatic tumor microenvironment can respond to TGF- $\beta$  signaling. TGF- $\beta$  has an important regulatory role in the pancreatic tumor microenvironment. The response effects of various cells in the pancreatic tumor microenvironment to TGF- $\beta$  are summarized in the box and the specific response mechanism is summarized in Table 1.

Table 1TGF-β responders in tumor microenvironment and their roles.		
Responder	Effect	Mechanism
Tumor cells	Growth inhibition Reversal of immunosuppressive tumor microenvironment	Deletion or Downgrade of TGF-βRII Inhibition of mesenchymal TGF-βRII
Smad 4 <sup>+</sup> PDAC cells Smad 4 <sup>-</sup> PDAC cells Pancreatic stellate cells	Promote cell proliferation and inhibit migration Inhibit cell proliferation and promote migration Tissue fibrosis reconstruction	Reduction of nuclear translocation of Smad 4 Activation of MAPK/ERK signaling pathway Secretion of large amounts of extracellular matrix
	Tumor microenvironment suitable for cancer cells survival Poor prognosis	Secretion of cytokines, such as IL-1, IL-6, etc.
Cancer-associated fibroblasts	Promote tumor growth	Differentiation of pancreatic stellate cells to myCAFs
Pancreatic cancer stem cells Tregs	Tumor metastasis and therapy resistance Tumor evasion of host immune surveillance Immune escape	Overexpression of stemness-related transcription factors Activation of MEK/ERK signaling pathway
Dendritic cells	Decline in adaptive immunity Growth and metastasis of pancreatic cancer	Decreased expression of MHC-II gene Immune escape
CD8 <sup>+</sup> cytotoxic T cells	Progression of pancreatic cancer	Inhibiting the clonal proliferation of CD8 <sup>+</sup> T cells Inhibiting the cytotoxicity of CD8 <sup>+</sup> T cells Decreased antigen presentation by dendritic cells
Mast cells Natural killer cells	Poor prognosis Reduced tumor killing effect	Increased activation of PAR-2, ERK1/2 and Akt Impaired cytotoxic degranulation of natural killer cells
Macrophages in the early stage Macrophages in the	Reduction of tumorigenic tumor microenvironment Immune escape	Conversion of monocytes to M2 macrophage phenotype Degradation of MYD88

#### Tumor cells

Tumor cells are the main responders to TGF- $\beta$  signaling and the main secretors of TGF- $\beta$  in the pancreatic TME. Plenty of evidence supports the notion that increased TGF- $\beta$  expression by tumor cells leads to ECM deposition and tissue fibrosis, which disrupts immune and inflammatory functions, enhances tumor cell migration, invasion, and survival in later stages of tumorigenesis, thereby promoting tumor progression, stimulating angiogenesis, promoting EMT, increasing migration and invasion, and maintaining cancer stem cells. TGF-B signaling through the type II receptor mediates growth inhibition of carcinoma cells. When TGF-BRII is deleted or downregulated, chemokine/chemokine-receptor signaling is increased, such as CXCL1-CXCL5/CXCR2 and SDF-1-CXCR4 leading to tumor invasion.<sup>60</sup> Inhibition of mesenchymal TGFβRII reduces IL-6 production by cancer-associated fibroblasts, thereby reducing STAT3 activation in tumor cells and reversing the immunosuppressive TME.<sup>61</sup> In Smad 4<sup>+</sup> PDAC cells, TGF-B1-induced autophagy promotes proliferation and inhibits migration by reducing the nuclear translocation of Smad4. In contrast, in Smad4<sup>-</sup> PDAC cells, TGF-<sub>B</sub>1-induced autophagy inhibits Smad4<sup>-</sup> cell proliferation and promotes migration by regulating MAPK/ERK activation.<sup>62-64</sup> In addition, TGF- $\beta$ 1 may create a favorable environment for tumor growth by inhibiting anti-tumor immunity, including NK cell function, in addition to its direct anti-tumor effects.<sup>65</sup>

#### Pancreatic stellate cells

Pancreatic stellate cells (PSCs) are the precursors of CAFs, which are one of the major components of the pancreatic TME, and the major mesenchymal cells in PC are also derived from PSCs.<sup>66–68</sup> Under normal conditions, PSCs are in a resting state. When inflammation or cancer occurs in the pancreas, PSCs change from a resting state to an activated state, promoting the growth and progression of pancreatic tumors.<sup>69</sup> TGF- $\beta$  plays a major role in the transition from resting state to activated state in PSCs.<sup>70</sup> When PSCs are activated, on the one hand, they secrete a large amount of extracellular matrix to promote pancreatic tissue fibrosis reconstruction; on the other hand, they secrete a large number of cytokines, such as TGF- $\beta$ , IL-1, and IL-6, to promote EMT, thus promoting the formation of a TME suitable for tumor cell survival and making PC more aggressive.<sup>71–73</sup>

Connective tissue hyperplasia is a hallmark of PC and consists of fibrotic cells and secretory extracellular matrix (ECM).<sup>74</sup> Tanaka et al used PSCs, a major cellular component of PC connective tissue, to generate a three-dimensional fibrosis model with a thickness up to the range of clinical observation. Using this model, they found that dependent on TGF- $\beta$ /Rho-Associated Kinase (ROCK) signaling and matrix metalloproteinase activity, collagen fibril deposition was increased and fibronectin linkage direction was substantially reshaped by PSCs; abnormal ECM remodeling was important for the progression of PC.<sup>75</sup> It has also been shown that PSCs can synthetically express Secreted Protein, Acidic and Rich in Cysteine (SPARC), that there is a complex regulatory network between SPARC and TGF- $\beta$ , and that the expression of SPARC in the mesenchyme of PC is a signal of poor prognosis.<sup>75–77</sup>

#### **Cancer-associated fibroblasts**

CAFs develop from PSCs, which are also a component of the TME of PC, and are one of the major responders to TGF- $\beta$ signaling in pancreatic TME. TGF- $\beta$  plays an integral role in promoting the activation, proliferation and differentiation of CAFs, and it also regulates the shape, stiffness and invasion of CAFs.<sup>78-81</sup> Biffi et al identified two subtypes of CAFs: one expresses inflammatory markers such as interleukin-6 (IL-6) and leukemia inhibitory factor (LIF) and is named inflammatory CAFs (iCAFs); the other expresses markers of myofibroblastic cells such as a SMA and is named myofibroblastic CAFs (myCAFs). Under the induction of interleukin-1 (IL-1), PSCs activate the downstream JAK/ STAT signaling pathway in the autocrine form of LIF to differentiate and develop toward the iCAFs phenotype; TGF- $\beta$  antagonizes this process by downregulating IL1R1 expression and promoting myofibroblast differentiation to induce PSCs development into the myCAFs phenotype, thus selectively promoting PSC differentiation into the CAF phenotype that promotes tumor cell growth.<sup>82,83</sup>

#### Pancreatic cancer stem cells

Stem cells play an important role in the initiation and development of cancer.<sup>84,85</sup> Evidence suggests that pancreatic cancer stem cells (PaCSCs) contribute to tumor metastasis and therapy resistance and the TGF- $\beta$  signaling pathway may coordinate the acquisition of stem cell properties by PC cells.<sup>86–90</sup> TGF- $\beta$  increases the expression of stemness-related transcription factors in PC through the Smad signaling pathway and promotes the acquisition of stemness characteristics by PC cells.<sup>91</sup> In addition, TGF- $\beta$  forms a positive feedback loop with stem cell marker "nestin", driving the EMT that promotes tumor progression, maintains PDAC cells with a high metastatic ability, and escapes host immune surveillance.<sup>92</sup>

#### Tumorigenic immune cells

TGF- $\beta$  mainly plays an immunosuppressive role in PC. It helps pancreatic cancer cells evade immune surveillance by suppressing host immune detection, thus accelerating the growth and metastasis of pancreatic cancer cells, and some scholars even advocate that TGF- $\beta$  is the main immune checkpoint in PC patients.<sup>60,93</sup>

#### Macrophages

Macrophages play a key role in PDAC growth and metastasis. In the early stage of cancer, TGF- $\beta$  reduces the tumorigenic TME by regulating macrophages.<sup>94</sup> Monocytes in the TME usually differentiate toward an M2 macrophage phenotype with anti-inflammatory, immune-suppressive, and pro-angiogenic functions.<sup>8</sup> In the advanced stage of cancer, tumor-associated macrophages (TAMs) mobilize activated TGF- $\beta$  via integrin  $\alpha v\beta 8$  and matrix metalloproteinase 14 (MMP14).<sup>95</sup> At this time, TGF- $\beta$  is one of the main immunosuppressive cytokines produced by TAMs. TGF- $\beta$  signaling in macrophages also inhibits the anti-inflammatory response mediated by transcription factor NF- $\kappa$ B by promoting the degradation of MYD88, a key protein that activates the NF- $\kappa$ B pathway.<sup>96</sup> Based on the key role of macrophages in PDAC, macrophage-targeted therapy offers a new option for PDAC patients.<sup>91</sup>

#### Tregs

Zhang et al reported that regulatory T cells (Tregs) are one of the key sources of TGF- $\beta$  ligands,<sup>31</sup> and also Tregs are one of the important responder cells in the TME of PC. Kras<sup>G12D</sup> mutation activates the MEK/ERK pathway in PC, which upregulates the levels of interleukin-10 (IL-10) and TGF- $\beta$ , and induces Foxp3 expression, thereby inducing Tregs transformation. This process contributes to the immunosuppressive TME and promotes immune evasion.<sup>97,98</sup>

#### **Dendritic cells**

Dendritic cells (DCs) are the main professional antigen-presenting cells (APCs).<sup>99</sup> DCs in the TME are regulated by TGF- $\beta$  signaling: on the one hand, the downregulated MHC-II gene, whose antigen-presenting ability is greatly inhibited, leads to a decrease in the adaptive immune capacity of the body; on the other hand, they are induced to differentiate into an immature myeloid cell phenotype with potent immune suppressor functions, leading to immunosuppression, thus promoting the growth and metastasis of PC.<sup>100</sup>

#### CD8<sup>+</sup> cytotoxic T cells

The response of CD8<sup>+</sup> cytotoxic T cells (CTLs) to TGF- $\beta$  signaling is divided into two parts: TGF- $\beta$  inhibits the clonal expansion of CD8<sup>+</sup> T cells *in vivo* and suppresses the cytotoxicity of CD8<sup>+</sup> T cells. Together, they will eventually promote tumor progression.<sup>101</sup> The specific mechanisms are that inhibition of perforin, granzyme B and A, interferon-gamma (IFN- $\gamma$ ), and FAS ligand (FASL) expression, generation of Treg cells, and promotion of M2-macrophages (which secrete other immunosuppressive cytokines such as IL-10, and EMT induction).<sup>101–103</sup> In addition, the decreased function of CTLs is also partly due to the decreased antigen-presenting role of DCs.<sup>104</sup>

#### Mast cells

In pancreatic TME, mast cells promote angiogenesis, formation of the desmoplastic microenvironment and invasion of the tumor, thus leading to poor prognosis.<sup>105,106</sup> It has been experimentally demonstrated that the malignant role of mast cells in PC can be mediated by TGF- $\beta$  signaling.<sup>107</sup> However, the exact mechanism of how they receive TGF- $\beta$ signaling in PC remains to be explored. Recently, Otsuru et al found that mast cells induce the release of TGF- $\beta$ 1 and increase the activation of PAR-2, ERK1/2, and Akt expression, thus promoting the malignant process of PC. This process antagonizes the chemotherapeutic effect of gemcitabine/ nab-paclitaxel (GEM/NAB) and leads to chemoresistance.<sup>108</sup>

#### Natural killer cells

Natural killer (NK) cells are the key effectors in cancer immunosurveillance and can be used as a prognostic biomarker in diverse cancers. During PC progression, the function of NK cells is reduced and the killing effect on tumor cells is diminished. Jun et al found that high levels of TGF- $\beta$  in PC led to impaired cytotoxic degranulation of NK cells, resulting in impaired NK cells function and reduced natural killer effect on tumor cells. Therefore, NK cells

degranulation can be used to predict the prognosis of PC patients.  $^{\rm 65}$ 

#### TGF- $\beta$ based anti-cancer therapy

Based on the dual role of the TGF- $\beta$  signaling pathway in PC genesis and its regulatory role in PC progression, many clinical treatment attempts have been made and many research advances have been made for the TGF- $\beta$  signaling pathway in PC.

#### Immunotherapy

Here, following the finding that TGF- $\beta$  suppresses T helper 2 (TH2)-cell-mediated cancer immunity, Li et al shows that blocking TGF- $\beta$  signaling in CD4<sup>+</sup> T cells remodels the TME and restrains cancer progression.<sup>109</sup>

Galunisertib is a TGF- $\beta$  blockade which is being investigated as a potential tumor immunotherapy candidate drug in clinical trials. However, primary or acquired resistance is often found in the recruited cancer patients, which limits its clinical application.<sup>110</sup> However, it has demonstrated better efficacy when used in combination with other drugs.

Melisi et al demonstrated through clinical trials that the Galunisertib-Gemcitabine combination improves overall survival with minimal toxicity.<sup>111</sup> Gueorguieva et al concluded by meta-analysis in agreement with Melisi et al and their pharmacokinetic analysis led to the conclusion that 300 mg/day galunisertib administered as 150 mg twice daily for 14 days on/14 days off treatment is an appropriate dosing regimen for patients with PC.<sup>112</sup>

Gal can also be used in combination with immune-targeted therapy.<sup>113</sup> In immunocompetent murine models, Liu et al improved chemotherapy efficacy through combined blockade of TGF- $\beta$ 1 and GM-CSF by inhibiting M2-polarized TAM and inducing CD8<sup>+</sup> T cells, which is considered the best potential target for improving chemotherapy efficacy.<sup>114</sup> Wang et al significantly increased tumor CD8<sup>+</sup> T cell infiltration and cytotoxicity by inhibiting both the TGF- $\beta$ pathway and the PD-1/PD-L1 checkpoint and stimulated anti-tumor immunity to synergistically inhibit tumor growth.<sup>115</sup>

#### Other therapies

In addition to the above-mentioned small molecule drugs that specifically target TGF- $\beta$  signaling, other seemingly tumor-unrelated drugs are also closely associated with TGF- $\beta$  in PC and are closely related to the subsequent progression of PC, promising to be applied in the adjuvant treatment of PC with sufficient clinical evidence. Shi et al confirmed that combination therapy of TGF- $\beta$  blockade and commensal-derived probiotics provides enhanced antitumor immune response and tumor suppression.<sup>110</sup> Magnolol inhibits cell proliferation, migration, and invasion in *vitro* and *in vivo* by inhibiting the TGF- $\beta$  signaling pathway and EMT, therefore, it may be a potential drug for the treatment of PC.<sup>116</sup> Vitamin D has an inhibitory effect on EMT, while partially reversing PDAC cell-induced changes in intracellular calcium in immune cells and preventing PCinduced apoptotic signaling in inflammatory cells.<sup>117</sup>

Together with the ability to induce small amounts of TGF- $\beta$  release, which may lead to an overall anti-tumor response, the combination of vitamin D and TGF- $\beta$  inhibition could be an option for PC treatment.<sup>118</sup>

## Relationship between TGF- $\beta$ and the mechanism of chemotherapy resistance in pancreatic tumor microenvironment

For microenvironment in PC, conventional chemotherapy regimens will produce drug resistance, mainly through CAFs, myofibroblasts, mast cells, and other pathways.

#### Drug resistance caused by CAFs

There may be several reasons for the drug resistance of CAFs. The existing chemotherapy regimens, such as gemcitabine or albumin-taxel, are highly toxic, and patients are susceptible to drug resistance.<sup>119</sup> Therefore, finding ways to overcome the tumor interstitial barrier is the key to the success of PDAC chemotherapy.

The dominant cell group of the tumor matrix in PDAC is activated PSCs or CAFs, which can secrete a large number of ECM to compress intertumoral blood vessels and hinder effective chemotherapeutic drug delivery. In addition, CAFs, as "criminal partners", support and promote tumor progression and metastasis by producing active exosomes while creating immunosuppressive microenvironments.<sup>120</sup>

Feng et al found that to regulate the tumor microenvironment, CREKA peptide-targeted biodegradable polymer nanoparticles were constructed and loaded with TCM $\alpha$ -M. CRE-NP ( $\alpha$ -M) not only has inactive CAFs but also effectively reduces ECM production *in vitro* and *in vivo* by blocking the TGF- $\beta$  signaling pathway. In addition, the use of CRE-NP ( $\alpha$ -M) to initiate a matrix-rich tumor environment can promote the normalization of tumor blood vessels and enhance blood perfusion, which is beneficial to the subsequent infiltration and distribution of chemotherapeutic drugs or nano-preparations in the tumor site. Therefore, non-toxic nano-Chinese medicine as a target, combined with chemotherapy, is expected to improve the chemotherapy effect of PC and provide new therapeutic ideas for the treatment of connective tissue proliferative tumors.<sup>80</sup>

#### Drug resistance in myofibroblasts

In Dominguez's study,<sup>121</sup> the TGF- $\beta$ -driven cell population was identified as the most common CAFs in advanced tumors. In addition, they found that the LRRC15<sup>+</sup>CAFs signal of myofibroblasts was related to the adverse reactions of several different types of human tumors to immune checkpoint blockade. These cells have myofibroblast characteristics and dominant ECM gene characteristics, which constitute the majority of CAFs in PDAC patients, mainly immune rejection phenotype. This indicates that these cells have immunoregulatory effects. It will be valuable to further explore whether early CAFs can avoid the tumorcausing fate of LRRC15<sup>+</sup> CAFs, or whether the phenotype of LRRC15<sup>+</sup> CAFs can be reversed to improve the efficacy of immunotherapy. The team chose to focus on LRRC15<sup>+</sup> CAFs because they are ubiquitous in PDAC, but IL-1 CAFs have a transcription process that suggests the immune regulation of TME. The inhibition of the JAK signaling in PDAC has been proved to be related to the reduction of IL-1 CAFs and tumor burden.<sup>82</sup> Although it is difficult to distinguish between the direct effect of these inhibitors on tumor cells and the effect of IL-1 CAFs loss in TME, it should be noted that despite many transcriptional differences, both CAF types also express genes associated with myofibroblast characteristics, and both express various immunomodulatory and even inflammatory mediators. For TGF- $\beta$ -CAFs, we have identified LRRC15<sup>+</sup> CAFs expression as a good proxy for multiple cancer indications<sup>122,123</sup>

#### Mast cell-generated gemcitabine resistance

A large amount of evidence has shown that chemotherapeutic drugs reshape the tumor microenvironment and promote tumor regrowth and drug resistance by promoting the release of pro-inflammatory and immunosuppressive cytokines.<sup>124</sup> In Porcelli's study,<sup>108</sup> by simulating mast cell crosstalk, GEM/NAB was found to promote the release of TGF-B1 from tumor cells, so that GEM/NAB becomes resistant in cells where TGF- $\beta$ 1 and PAR-2 signaling interact functionally. By dividing patients included in the prospective study into responding and non-responding groups, it was found that non-response to GEM/NAB was associated with significantly elevated TGF-B1, IL-6, IL-8, MIF, and CXCL11 in patients' blood, as well as elevated trypsin. It is well known that the release of TGF- $\beta$ 1 and IL-6 is triggered by the control of down-rod and TGF- $\beta$ 1 signaling while increasing MIF and CXCL11 accounts for the development of an immunosuppressive microenvironment, which is a characteristic of tumors with strong deregulation of activated ERK1/2 and TGF- $\beta$ 1 signaling.<sup>125</sup> The levels of trypsin did not reach significant P-values, but the above results strongly suggest a clinical impact of mast cells beyond their recognized role in tumor prognosis, as mast cells may play a crucial role in anti-GEM/NAB.

#### Conclusions

TGF- $\beta$  plays a dual role during the development of PC. In the early stage of PC, TGF- $\beta$  is an important tumor suppressor that inhibits cell proliferation. Meanwhile, in the late stage of PC, TGF- $\beta$  promotes the formation of the tumor microenvironment and facilitates the progression of advanced tumors. Most nucleated cells in the body are capable of secreting TGF- $\beta$ , and most cells in the tumor microenvironment of PC can respond to TGF- $\beta$  signaling. Therefore, TGF- $\beta$  has an important and complex regulatory role in the development of PC and changes in the tumor microenvironment of PC. With the clarification of its regulatory mechanism, many therapeutic approaches targeting TGF- $\beta$  signaling have been put into clinical trials, which will help improve the survival rate and prognosis of patients with PC. In addition, chemotherapy resistance induced by TGF- $\beta$  signaling in the tumor microenvironment of PC increases the difficulties in the treatment of PC patients. In order to overcome the tumor interstitial barrier, we need to thoroughly elucidate the mechanism behind the chemotherapy resistance. Besides, we need to explore better treatments.

#### **Conflict of interests**

The authors declare no conflict of interests.

#### Funding

This work was supported by The National Natural Science Foundation of China (No. 81402568); Open Fund of Hubei Key Laboratory of Tumor Microenvironment and Immunotherapy (Three Gorges University, China) (No. 2019KZL02, 2020KZL03).

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