# A hybrid voxel sampling method for constructing Rad-HUMAN phantom\*

ZHAO Kai (赵凯),<sup>1,2</sup> CHENG Meng-Yun (程梦云),<sup>1</sup> LONG Peng-Cheng (龙鹏程),<sup>1</sup>

FAN Yan-Chang (范言昌),<sup>1</sup> WANG Wen (王文),<sup>1</sup> HU Li-Qin (胡丽琴),<sup>1</sup> and WU Yi-Can (吴宜灿)<sup>1,†</sup>

<sup>1</sup>Institute of Nuclear Energy Safety Technology, Chinese Academy of Sciences, Hefei 230031, China

<sup>2</sup>Institute of Public Computer, Hefei Normal University, Hefei 230601, China

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An accurate and fast sampling method was developed on the modeling of a voxel phantom called Rad-HUMAN for radiation protection of MC-based radiation transport and simulation. The segmented organ voxels, which were assigned with three dimensional (3D) coordinates, were simplified through a two-step hybrid sampling algorithm. Firstly, certain voxels were sampled into a coordinate matrix by the nearest neighbor sampling. Secondly, the coordinate matrix was renewed using a weighted sampling. To compare visualization with the sampling, the resultant matrix was used to extract the contour of organs/tissues for constructing polygon-surface phantom. The feasibility and effectiveness of the sampling method was verified through the modeling of large organs (e.g. skeleton system) and application of transformation to MC computational geometries.

Keywords: Sampling, Monte Carlo, Voxel, 3D, Rad-HUMAN

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## I. INTRODUCTION

Computational phantoms have been used extensively in radiation protection for Monte Carlo (MC)-based radiation transport and simulation [1]. Accuracy of the phantom plays a critical role in dose calculation [2, 3], and computational phantoms based on color photographic images and containing detailed information of human body have been developed in many countries [4, 6]. However, it is a big problem to deal with the massive voxels accurately [5]. Lots of refinement methods were developed not directly focusing on the original voxels, and sometimes voxels were sampled with interpolation. This may generate some ambiguity that may cause serious problem in whole-body phantom construction. Due to limitation of computer performance, the large organs (e.g. skeleton system) from the image slices cannot be constructed as a whole accurately.

Based on high-resolution sectioned images of a Chinese Visible Human (CVH) dataset, a voxel phantom called Rad-HUMAN (Accurate whole-body computational phantom of Chinese adult female) was established by the FDS Team (www.fds.org.cn).

This CVH data set, which contains 3641 slices with  $3286 \times 1586$  pixel resolution, was obtained from a Chinese female cadaver. Each voxel size of the data set is  $0.15 \text{ mm} \times 0.15 \text{ mm} \times 0.25 \text{ mm}$  for the head and neck and  $0.15 \text{ mm} \times 0.15 \text{ mm} \times 0.5 \text{ mm}$  for the rest of the body. The total number of the voxels is about 16.8 billion. Segmentation [6] (Fig. 1) was processed manually and calibrated

by MCAM (Multi-Physics Coupling Analysis Modeling Program) developed by the FDS Team [7–9]. In addition to the fact that the voxel phantom was difficult for Monte Carlo dose calculation, demonstrating its geometry was also laborious [6].

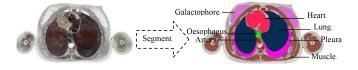


Fig. 1. (Color online) Organ segmentation.

In this work, a flexible sampling method was investigated on the modeling of Rad-HUMAN voxel phantom. Based on the method, the visualization was compared and computational geometries for Monte Carlo dose calculation was discussed.

## II. VOXEL SAMPLING METHOD

#### A. Nearest neighbor sampling

After segmentation, the organs had different RGB colors to be distinguished. In this paper, the data set of voxels assigned with 3D coordinates can be written as

$$(d_{i,j,k})_{w \times l \times h} = \begin{pmatrix} (0,0,h-1) & \dots & (w-1,0,h-1) \\ \vdots & \ddots & \vdots \\ (0,l-1,h-1) & \dots & (w-1,l-1,h-1) \end{pmatrix};$$
  
$$i,j \in [0,w-1/l-1];$$
  
(1)

where w, l and h are the width, length and height of the CVH dataset, respectively; and i, j and k are the position subscripts of the voxels.

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<sup>&</sup>lt;sup>†</sup> Corresponding author, yican.wu@fds.org.cn

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It is no use obtaining all the voxels of a certain organ to arduously construct its model. In the nearest neighbor sampling, the segmented voxels are appropriately enlarged and sampled from their nearest neighbors.

Change the voxel array from  $w \times l \times n$  to  $w' \times l' \times n'$ , the sampling enlargement factor can be expressed as

$$(S_x, S_y, S_z) = (\frac{w}{w'}, \frac{l}{l'}, \frac{n}{n'}).$$
 (2)

To be in consistence with the later sampling, the voxel coordinate of an organ can be described as a sparse matrix  $\alpha$ 

$$\begin{cases} \alpha = (\alpha_{i,j,k})_{w \times l \times h}, \\ \alpha_{i,j,k} = \begin{cases} d_{i,j,k}, \exists F(d_{i,j,k}) \\ 0, \nexists F(d_{i,j,k}), \end{cases}$$
(3)

where  $\exists F(d_{i,j,k})(\text{or} \nexists F(d_{i,j,k}))$  means that  $d_{i,j,k}$  is (or not) a coordinate of the original organ.

In the nearest neighbor sampling, the sampled organ f(x, y, z) is acquired from an original organ F(X, Y, Z) described as

$$f(x, y, z) = F([S_x \times x], [S_y \times y], [S_z \times z]), \qquad (4)$$

where symbol "[]" stands for rounding off the number for the result. So the resultant coordinate matrix of the sampled organ can be described as

$$\begin{cases} \gamma = (\gamma_{i,j,k})_{w' \times l' \times h'} \\ \gamma_{i,j,k} = \begin{cases} d_{i,j,k}, \exists F(d_{[i \times S_x], [j \times S_y], [k \times S_z]}) \\ 0, \exists F(d_{[i \times S_x], [j \times S_y], [k \times S_z]}). \end{cases}$$
(5)

To decrease the demand for computer memory, in present study, the nonzero coordinate  $(\gamma_{i,j,k})$  was stored on disk as file format corresponded with exclusive address for compressed storage.

## B. Weighted sampling

As accuracy loss is serious by the nearest sampling, a weighted sampling in the present study was proposed. With the same enlargement factor defined in Eq. (2), a sampling unit can be enclosed by a lattice with a size of  $S_x \times S_y \times S_z$ . Obviously the voxels in the lattice cannot always belong to one organ, hence a weighted factor to judge whether the voxels of the sampling unit can be regard as a voxel after sampling.

After sampling, the relative position of the voxel was changed corresponding with the sampled voxel array  $(w' \times l' \times n')$ . That is, the coordinates must be zoomed by a size of  $(1/S_x) \times (1/S_y) \times (1/S_z)$  for the consistence. In addition, described as the coordinates with exclusive addresses, the original voxels of the lattice can be projected to a new address of the sampled storage. This brought the effectiveness for numbering the amounts of the voxels which belong to one organ being projected. So according to a defined weight of the voxels in the lattice, the voxels of a certain organ can be sampled fast. The detailed steps for the weighted sampling are as follows.

- Step 1: Obtain coordinate matrix  $\alpha$  of an organ referred as Eq. (3).
- Step 2: Map the matrix  $\alpha$  to a sampling matrix  $\beta$ . Supposing  $(x_{\alpha}, y_{\alpha}, z_{\alpha})$  is an element of  $\alpha$ , the element of  $\beta$  becomes

$$\begin{cases} (x_{\beta}, y_{\beta}, z_{\beta}) = (x_{\alpha}, y_{\alpha}, z_{\alpha}) \cdot \omega \\ u = \begin{pmatrix} 1/S_x & 0 & 0 \\ 0 & 1/S_y & 0 \\ 0 & 0 & 1/S_z \end{pmatrix}, \tag{6}$$

where the mapped address in  $\beta$  can be described as  $\theta$  with the element obtained from

$$\begin{cases} \theta = (x_{\alpha}, y_{\alpha}, z_{\alpha}) \cdot \tau \\ \tau = \left(\frac{1}{S_x}, \frac{w}{S_x \times S_y}, \frac{w \times l}{S_x \times S_y \times S_z}\right), \end{cases}$$
(7)

 $\omega$  and  $\tau$  in Eq. (7) are factors to multiply every element of  $\alpha.$ 

Step 3: Record the weights of certain coordinates which are mapped into the same locations.

Let (x, y, z) be a coordinate after sampling, which are mapped from  $\sigma$  (a region of sampling lattice).

$$\sigma \in \{([x \times S_x], [y \times S_y], [z \times S_z]), \\ ([(x+1) \times S_x], [(y+1) \times S_y], \\ [(z+1) \times S_z])\}.$$
(8)

In this step, the coordinates in  $\sigma$  is stored at the same location with  $\delta(x, y, z)$  recording their amounts.

Step 4: Filter the coordinates from  $\beta$ , with the weights of no less than a weight factor.

Supposing that the weights were defined as the proportional amounts of the sampling unit which is 0.5, the filtered coordinate matrix  $\gamma$  can be selected from  $\beta$  with the condition of

$$\delta(x, y, z) > 0.5 \times S_x \times S_y \times S_z. \tag{9}$$

The resultant coordinate matrix  $\gamma$  can also be stored on disk as file format in sequential order.

## C. Hybrid voxel sampling

The nearest sampling is a fast way to reduce the data size. However, it is not recommended to use this sampling method on some smaller data because of serious accuracy losses. In this paper, we combine it with the weighted sampling as a two-step hybrid sampling algorithm. In the hybrid sampling, the sampling unit is divided into smaller lattices for the nearest sampling, and then the smaller lattice can be assigned with weights for the weighted sampling.

To that end, the enlargement factor of  $(S_x, S_y, S_z)$  was divided into  $(N_x, N_y, N_z)$  and  $(P_x, P_y, P_z)$  for the nearest sampling and the proportional sampling, respectively.

Using an enlargement factor of  $(N_x, N_y, N_z)$  for the nearest sampling, the original coordinate matrix can be obtained according to Eq. (5) where

$$\alpha_{i,j,k} = \begin{cases} d_{i,j,k}, \exists F(d_{[i \times N_x], [j \times N_y], [k \times N_z]}) \\ 0, \nexists F(d_{[i \times N_x], [j \times N_y], [k \times N_z]}). \end{cases}$$
(11)

In order to combine the nearest sampling with the weighted sampling for the hybrid sampling, the factor in Eqs. (6) and (7) for the mapping and locating becomes

$$\omega = \begin{pmatrix} 1/P_x & 0 & 0\\ 0 & 1/P_y & 0\\ 0 & 0 & 1/P_z \end{pmatrix}$$
(12)

and  $\tau = (1/P_x, w/(P_y \times S_x), w \times l/(P_z \times S_x \times S_y))$ , respectively.

The detailed steps to implement the hybrid sampling algorithm are shown in Fig. 2.

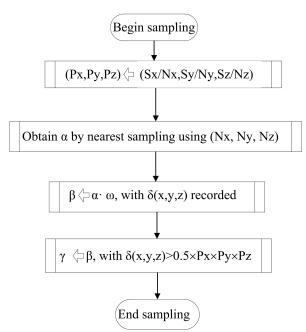


Fig. 2. Hybrid voxel sampling algorithm.

#### **III. RESULTS AND DISCUSSION**

#### A. Application in construction of polygon-surface phantom

The organs or tissues of interest (e.g., lungs, liver, skin etc.) from the original tomographic photography were identified by assigning every pixel with an identification numbers. All these numbers can be stored sequentially as a pixel matrix that can be used to extract equivalent matrix, from which

TABLE 1. The comparison of the heart reconstruction

Comparison	Nearest	Weighted
Enlargement factor proportional	2.0 2.0 2.0	2.0 2.0 2.0
Weight		0.5
Voxel size (mm <sup>3</sup> )	$0.3\times0.3\times1.0$	$0.3 \times 0.3 \times 1.0$
Times (s)	19	268
Number of triangular faces	589005	571133
Holes	1516	1274
Model size (MB)	29.2	28.5

the polygon-surface model can be constructed by Marching Cubes [10].

The equivalent matrix can be acquired by the last step of the sampling method. For example in weighted sampling, on purpose of generating the matrix  $\gamma$ , the coordinates satisfying the condition of  $\delta(x, y, z) > 0.5 \times S_x \times S_y \times S_z$  are set as an identification number, while the coordinates not satisfying that condition are set as another identification number. The changed matrix  $\gamma$  can then be used as the equivalent matrix using VTK toolkit to generate the polygon-surface model.

Preparatorily, these sampling methods were processed with the just one CPU of the 3.10 GHz Intel Core<sup>TM</sup> 2Quad Processor i5-2400 64 bit operating system. To compare with two special cases of the hybrid sampling, the construction of heart organ containing 265 slices was taken for example. Defining the proportional amounts as the weights, Table 1 presents relevant sampling parameters between the nearest and the weighted sampling methods which are compared by the visualization in Figs. 3(a) and 3(b).

When the voxel increased with a factor of 2.0 in x, y and z directions, the time expenditure by the nearest sampling was about 7.09% of that by the proportional sampling. The number of the holes and model size produced by the weighted sampling was 84% and 95% of the one produced by the nearest sampling, respectively. By the weighted sampling, the incorrect model incurred by segmentation can be repaired (Fig. 3(d)).

Parameters between the two methods (Table 1) are mainly dependent on computer performance and data set resolution etc. For precision adjustment, the proportional weight factor can be used.

## B. Improvement on the sampling and analysis

The nearest and the weighted sampling method in present paper are implied as two special cases of the hybrid sampling. To discuss their combined criteria, extra experiment was taken with a large organ (e.g. skeleton system, include marrow, pelvis, cartilage etc.). With the same enlargement size of  $4.0 \times 4.0 \times 4.0$  and a proportional weight of 0.5 for the proportional and the hybrid method, modeling parameters between the three samplings are given in Table 2 and the visualization comparison is illustrated in Fig. 4.

In the hybrid sampling, the enlargement size of  $4.0 \times 4.0 \times 4.0 \times 4.0$  was divided to  $2.0 \times 2.0 \times 2.0$  for both the nearest and weighted. The time expenditure by the hybrid sampling was

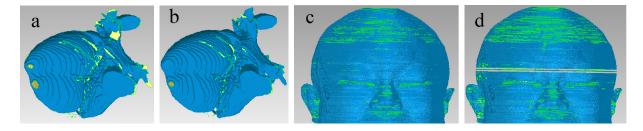


Fig. 3. (Color online) Heart and face model sampling comparisons. (a) Heart model by the nearest sampling. (b) Heart model by the proportional sampling. (c) Face model by the nearest sampling. (d) Face model by the proportional sampling

IABLE 2. The comparison of the skeleton system reconstruction			
Comparison	Nearest	Weighted	Hybrid
Enlargement factor	4.0 4.0 4.0	$4.0\ 4.0\ 4.0$	4.0 4.0 4.0
Proportional weight		0.5	0.5
Voxel size (mm <sup>3</sup> )	$0.6 \times 0.6 \times 2.0$	$0.6 \times 0.6 \times 2.0$	0.6  imes 0.6  imes 2.0
Times (s)	142	2374	431
Number of triangular faces	2504154	2512610	2623755
Holes	31635	4245	5200
Model size (MB)	137.62	124.74	130.39

TABLE 2. The comparison of the skeleton system reconstruction

about 18.15% of that by proportional. In addition, the hole number of the model produced by the hybrid was about 16.43 percent of that produced by the nearest. It is advantageous to create the model accurately and quickly for visualization [11, 12]. The visualization of the model constructed by the three sampling is shown in Fig. 4.

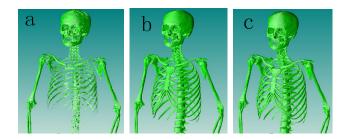


Fig. 4. (Color online) Visualization comparisons between three sampling methods. (a) Skeleton system of upper half of the body by the nearest sampling. (b) Skeleton system of upper half of the body by the proportional sampling. (c) Skeleton system of upper half of the body by the hybrid sampling.

The nearest sampling may cause severe distortion (Fig. 4(a)) when the sampling enlargement factor becomes larger. The hybrid sampling, therefore, is an appropriate method to make up for such deficiency and enhance the process. It is a flexible sampling method to be evolved from the one to another with a distributed enlargement factor. The combined criterion depends on the sampling ratio, dataset size, computer performance etc. Generally, considering the visual impact, the enlargement size allocated for the nearest sampling shall not be larger than  $2.0 \times 2.0 \times 2.0$ . This can largely reduce the data size for fast processing with current configuration of a personal computer. For the demanding of

more accuracy of the model (Figs. 4(b) and 4(c)), the enlargement factor allocated for the weighted sampling should be larger. Because there are many organs contained in the whole phantom, the accepted time to visualize and check the geometry of the phantom can be satisfied by the hybrid sampling.

From Table 2, the percent of holes to faces of the nearest, the weighted and the hybrid is 1.26%, 0.17% and 0.20%, respectively. Compared with the visualization of Fig. 4, the percent of about 0.20% with the model can be as a reference acceptance criteria for better visualization.

By the hybrid sampling, the voxels are enlarged by a factor of  $4.0\times4.0\times4.0$ , the whole skeleton system can be shown in Fig. 5 .

## C. Application in Monte Carlo computational geometries

For dose calculation in lattice geometry, the methods presented in this paper can be applied [13]. In this case for Monte Carlo simulation, the pixel matrix of the whole phantom should be generated.

The weights definition can be designed by the material, density or other biological property. Next, accumulate the weights from the resultant coordinate matrix (e.g.  $\gamma$ ), locate the voxel in the pixel matrix satisfying the weighted factor, and assign it with the identification number. Finally, the pixel matrixes of body can be transferred to lattice representation geometry in MCNP codes [14, 15].

However, in addition to the lattice geometry [16, 17], the polygon-surface model, which is constructed by Marching Cubes [18], can hardly avoid surface intersections and holes in quality. For dose calculations with deformable phantoms [19, 20], repairing/improvement (e.g. filling, relaxation) is needed, so as to confirm no imperfection or interfer-

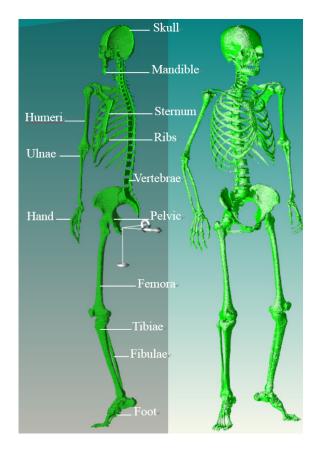


Fig. 5. (Color online) High-accuracy skeleton system by the hybrid sampling.

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ence, and to transfer it into those accepted by MC codes (e.g. MCNP, Geant4, etc.).

#### IV. CONCLUSION

In this paper, a hybrid voxel sampling algorithm, which merged the nearest sampling and a weighted sampling, was put forward. Through discussions of the model construction, the three sampling methods are able to deal with mass voxels. For accurate sampling, the weighted and the hybrid sampling are effective. As a flexible sampling, the hybrid sampling is able to show high-performance especially on construction of large organs. It is a valid sampling method on the visualization of the (MC) geometries of human voxel phantom.

Furthermore, based on these methods, the geometries for Monte Carlo dose calculation were then analyzed. The phantom of polygon-surface geometry or lattice representation geometry is able to be transferred to MC codes for dose calculations. Finally, by the proposed sampling, more accurate CAD (Computer Aided Design) (STEP etc.) model can be constructed in the field of medical diagnosis and other scientific researches.

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